

Part III: Noise in Image Sensors

Introduction

- **We have seen how pixels are designed to maximise the sensitivity to illumination**
- **However, this is only part of the story**
- **The overall performance of the sensor is ultimately limited by the noise that is added by the system to the signal**
- **In this sense, the noise figure of the detector system is a measure of its “perfection”**
- **Noise comes from numerous sources and its minimisation requires optimisation of many individual parts of the system**
- **Our discussion will not consider external noise sources, such as electrical pick-up**
 - » the only “external” noise we will include is noise in the optical signal itself
- **The treatment of noise is a complex subject, and it is even harder to measure the individual components accurately**
 - » the theoretical treatment is important, however, as a design tool for optimising the performance of specific stages in the system

Types of Noise

- **“Noise” in image sensors is typically separated into two categories**
 - » random noise
 - » pattern noise
- **Random noise is what you might call “real” noise**
 - » it is temporally random and is not constant from frame to frame in the image
 - » hence, it can be reduced by averaging successive frames
 - » and is described by statistical distributions
- **Pattern noise is effectively a spatial noise as seen by the observer of the image**
 - » it does not change significantly from frame to frame
 - » and so cannot be reduced by frame averaging
- **Pattern noise is divided into two components**
 - » fixed pattern noise (FPN)
 - » photo-response non-uniformity (PRNU)

Pattern Noise

- **FPN is the component of pattern noise measured in the absence of illumination**
- **It is mainly due to variations in**
 - » detector dimensions
 - » doping concentrations
 - » contamination during fabrication
 - » characteristics of MOSFETs (V_T , gain, W , L , etc.)
- **PRNU is the component of pattern noise that depends on the illumination**
- **PRNU depends on**
 - » detector dimensions
 - » doping concentrations
 - » thicknesses of overlayers
 - » wavelength of illumination (spectral response)
- **Historically, pattern noise (FPN in particular) has been the factor limiting the acceptability of CMOS imagers**
 - » PRNU is not often mentioned ...
 - » shortly, we will see how FPN can be reduced

Describing Noise

- **Pattern noise is usually specified in terms of the variation in the signals from individual pixels under uniform illumination**
 - » usually as a percentage of the saturation output
- **Random noise is expressed in terms of parameters which describe the statistical distribution of voltage or current**
- **If there are n samples of the signal**
 - » $x_1, x_2, x_3, \dots, x_n$
- **then the mean is $x = (x_1 + x_2 + x_3 + \dots + x_n) / n$**
- **However, the mean for many noise sources is zero**
 - » leaving the DC level of the signal unaffected
- **So a more useful description of the noise is either the variance ($\langle x^2 \rangle$) or the standard deviation ($\langle x^2 \rangle$, in rms units)**
 - » which measures the scatter of the data points about the mean

$$\langle x^2 \rangle = \frac{1}{n} \sum_{j=1}^n (x_j - x)^2$$

- **To sum noise sources, we have to add the variances**

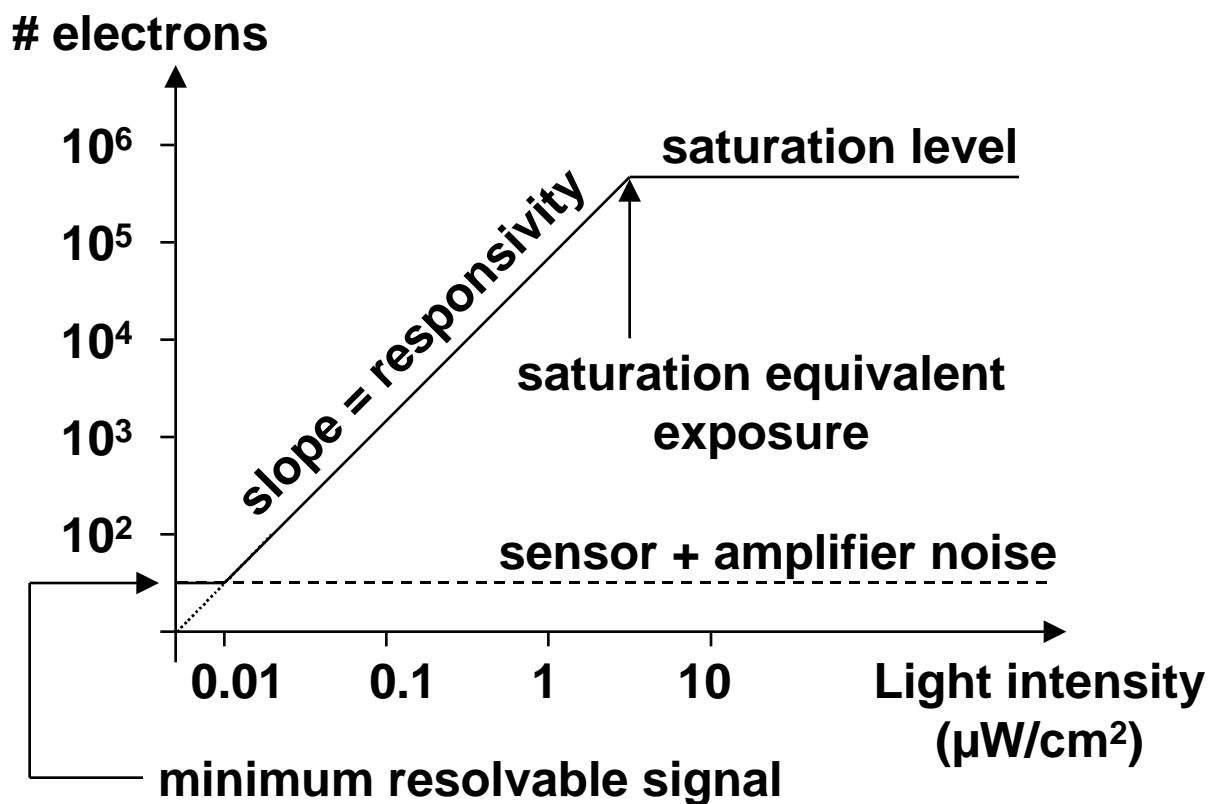
$$\langle \mathbf{x}^2 \rangle = \langle \mathbf{x}_1^2 \rangle + \langle \mathbf{x}_2^2 \rangle + \langle \mathbf{x}_3^2 \rangle + \dots \langle \mathbf{x}_n^2 \rangle$$

- **or the standard deviation is given by**

$$\langle \mathbf{x} \rangle = \sqrt{\langle \mathbf{x}_1^2 \rangle + \langle \mathbf{x}_2^2 \rangle + \langle \mathbf{x}_3^2 \rangle + \dots \langle \mathbf{x}_n^2 \rangle}$$

Importance of Noise

- We can illustrate the importance of the noise on the overall sensor performance as follows



- **Dynamic range = (saturation signal / rms noise level)**
 - » saturation 200,000 e^- , noise 40 e^- rms
 - » typical value is 5,000 for a PD (~75dB)
 - » assuming dark current is not the limiting factor

- **Responsivity = (# electrons / light intensity)**
 - » in linear portion of the curve (electrons.cm²/μW)
- **Provided that the dark current is small, the minimum resolvable signal is determined by the noise in the system**
- **Hence, a good responsivity is not enough to ensure a good signal at low light levels**
 - » a low “noise floor” is also required

- **In a convenient model, the rms system noise is**

$$\langle \mathbf{n}_{\text{sys}} \rangle = \sqrt{\langle \mathbf{n}_{\text{shot}}^2 \rangle + \langle \mathbf{n}_{\text{floor}}^2 \rangle + \langle \mathbf{n}_{\text{pattern}}^2 \rangle}$$

- » where the floor is determined by the amplifier noise, the reset noise, and the analog-to-digital converter noise
 - » the noise floor is often referred to as the read noise
- **The other noise included above is called the shot noise, which arises because of the statistical arrival of electrons**
 - » due to the photo-generation of the electrons
 - » and the thermal generation of electrons
- **We will now examine some of the noise sources present in image sensors**

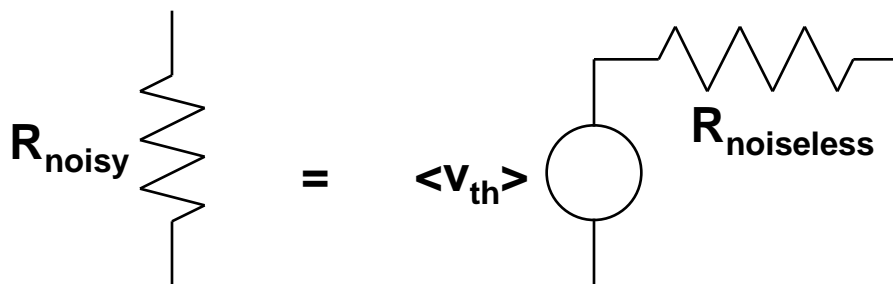
Thermal Noise

- **Thermal noise is a white noise**
 - » the noise power is constant over all frequencies

- **For a resistor, the thermal noise root mean square voltage is given by**

$$\langle v_{th} \rangle = \sqrt{4kTB R}$$

- » where R is the resistance, and B is the noise equivalent bandwidth
- **Since the thermal noise covers the entire frequency range, the bandwidth determines the actual amount measured**
- **So the open circuit equivalent circuit is**



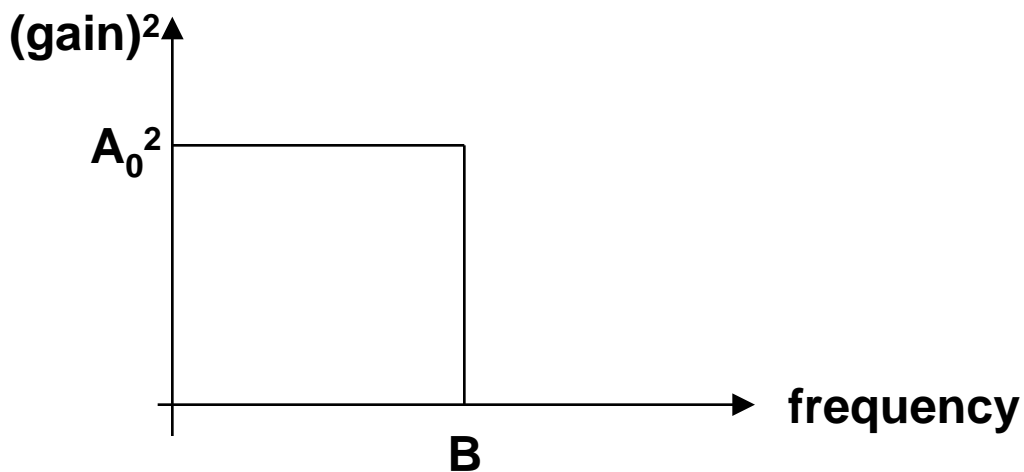
- **Alternatively**

$$\langle i_{th} \rangle = \sqrt{\frac{4kTB}{R}}$$

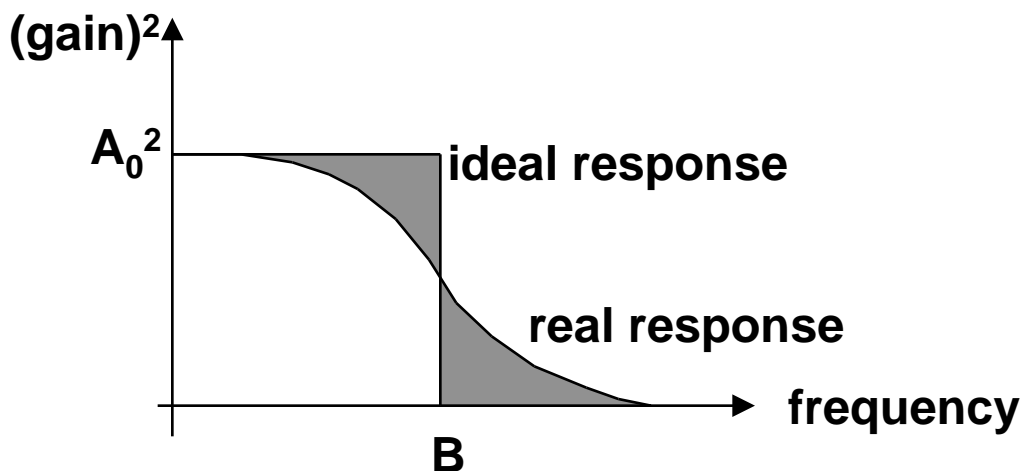
- **However, an important factor is the noise equivalent bandwidth for use in the calculation**

Noise Equivalent Bandwidth

- This is defined as the voltage-gain-squared bandwidth of the circuit
- The ideal case is that the $(\text{gain})^2$ is constant at a value of A_0^2 up to the bandwidth ($A_0 = \text{voltage gain}$)



- But, the behaviour of a real circuit is not abrupt



- The NEB is defined as the point at which the two shaded areas equal

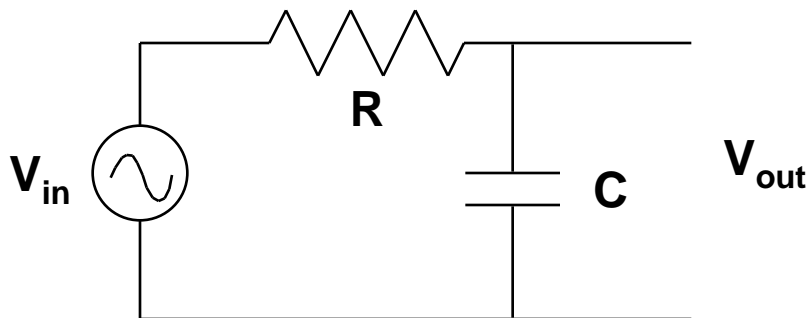
- Mathematically, this is given by

$$B = \frac{1}{|A_0|^2} \int_0^\infty |A(f)|^2 df$$

- So in the ideal case

$$\text{bandwidth} = \frac{1}{A_0^2} \int_0^\infty |A|^2 df = \frac{A_0^2 B}{A_0^2} = B$$

- If we take the example of an RC low pass filter



- Calculating the transfer function

$$\begin{aligned} A(f) &= \frac{V_{\text{out}}}{V_{\text{in}}} = \frac{\frac{1}{j\omega C}}{\frac{1}{j\omega C} + R} \\ &= \frac{1}{1 + j\omega RC} \quad \text{since } \omega = 2\pi f \\ &= \frac{f_0}{jf + f_0} \quad \text{where } f_0 = \frac{1}{2\pi RC} \end{aligned}$$

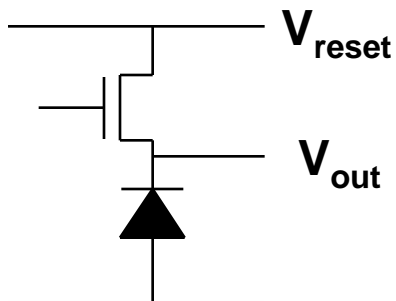
- At $f = 0$, $A(f) = A_0 = 1$ for this circuit
- Now we can calculate the noise equivalent bandwidth, using $A_0 = 1$

$$\begin{aligned}
 B &= \int_0^{\infty} \frac{f_0^2}{\sqrt{f^2 + f_0^2}} df \\
 &= \int_0^{\infty} (f_0^2 + f^2)^{-1/2} df \\
 &= \frac{1}{2} f_0
 \end{aligned}$$

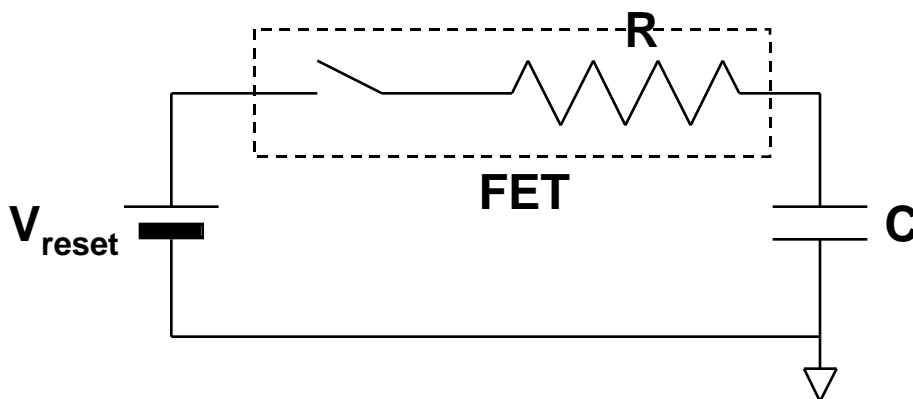
- The reason for choosing this example is that it is directly applicable to the resetting of photodiodes and the output nodes of CCD and photogate pixels

Reset Noise

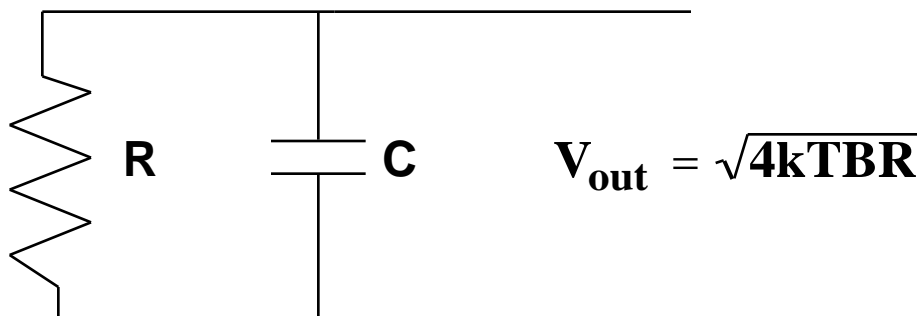
- If we consider a diffusion (either a floating diffusion or a photodiode) being reset through a MOSFET



- Effectively, this is a capacitance being charged through the resistance of the MOSFET channel



- So the ac-equivalent circuit is



- From before, the bandwidth is

$$B = \frac{1}{2} f_0 = \frac{1}{4RC}$$

- So we find the rms noise voltage

$$\langle v_{\text{out}} \rangle = \sqrt{\frac{kT}{C}}$$

- Usually, the noise voltages is expressed in terms of electrons, in order to compare directly with the electrons in the well
- In which case the reset noise on the capacitor is calculated from $Q = nq = Cv_{\text{out}}$, and the rms noise electrons is given by

$$\langle n_e \rangle = \frac{C}{q} \sqrt{\frac{kT}{C}} = \frac{\sqrt{kTC}}{q}$$

- This noise is generally called “kTC noise” or, in this case, reset noise
- Calculating this out at room temperature gives

$$\langle n_{\text{kTC,RT}} \rangle = 400 \sqrt{C(\text{pF})}$$

- For a floating diffusion $C \sim 20\text{fF}$, so $n_{\text{kTC}} = 55 e^-$
- For a $(10\mu\text{m})^2$ photodiode, $C \sim 60\text{pF}$, so $n_{\text{kTC}} = 100 e^-$

» currently, reset noise limits the read noise in PDs

Shot Noise

- **Shot noise is another white noise that arises from the discrete nature of the electrons themselves**
 - » i.e. the random arrival of particles of charge
- **This is the result of the random generation of carriers**
 - » either by thermal generation within a depletion region (i.e. shot noise of the dark current)
 - » or by the random generation of photo-electrons, caused in turn by the random arrival of photons

- **The rms signal is given by**

$$\langle i \rangle = \sqrt{2qI_{dc}B}$$

- **If the noise statistical distribution is described by a Poisson distribution**

- » the variance is equal to the mean
- » so $\langle i^2 \rangle = i$

- **So, if electrons are generated with a current density, J_{dark} , in a sensor of area, A , over an integration time, t_{int} , the shot noise variance is**

$$\langle n_{dark}^2 \rangle = n_{dark} = \frac{J_{dark}At_{int}}{q}$$

- Similarly, the photo-electron shot noise variance is given by

$$\langle n_{pe}^2 \rangle = n_{pe} = I_0 A t_{int}$$

- » where I_0 is the photon flux (photons/cm²s) and η is the quantum efficiency

- So the total rms shot noise contribution from the sensor is

$$\begin{aligned} \langle n_{shot} \rangle &= \sqrt{\langle n_{dark}^2 \rangle + \langle n_{pe}^2 \rangle} = \sqrt{n_{dark} + n_{pe}} \\ &= \sqrt{\frac{J_{dark} A t_{int}}{q} + I_0 A t_{int}} \end{aligned}$$

- For example, with

- » $J_{dark} = 200 \text{ nA/cm}^2$
- » $A = (10 \mu\text{m})^2$
- » $t_{int} = 30 \text{ ms}$
- » $I_0 = 10^{13} \text{ photons/cm}^2\text{s}$
- » and $\eta = 0.5$

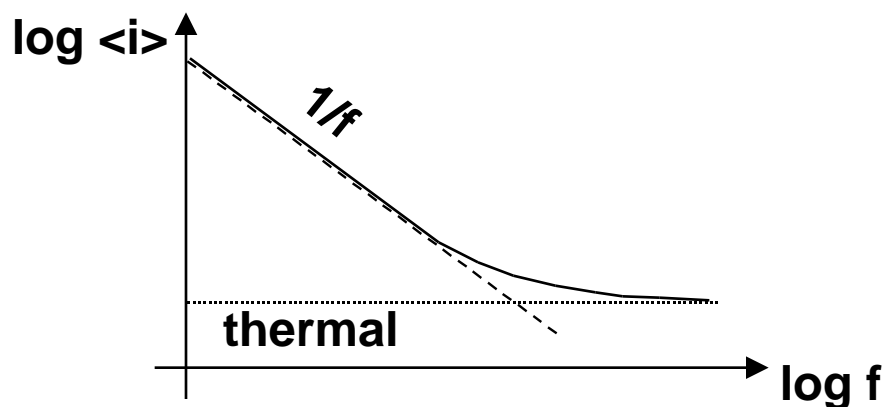
- we find $\langle n_{shot} \rangle = (37,500_{dark} + 150,000_{pe}) = 430 e^-$

Flicker (1/f) Noise

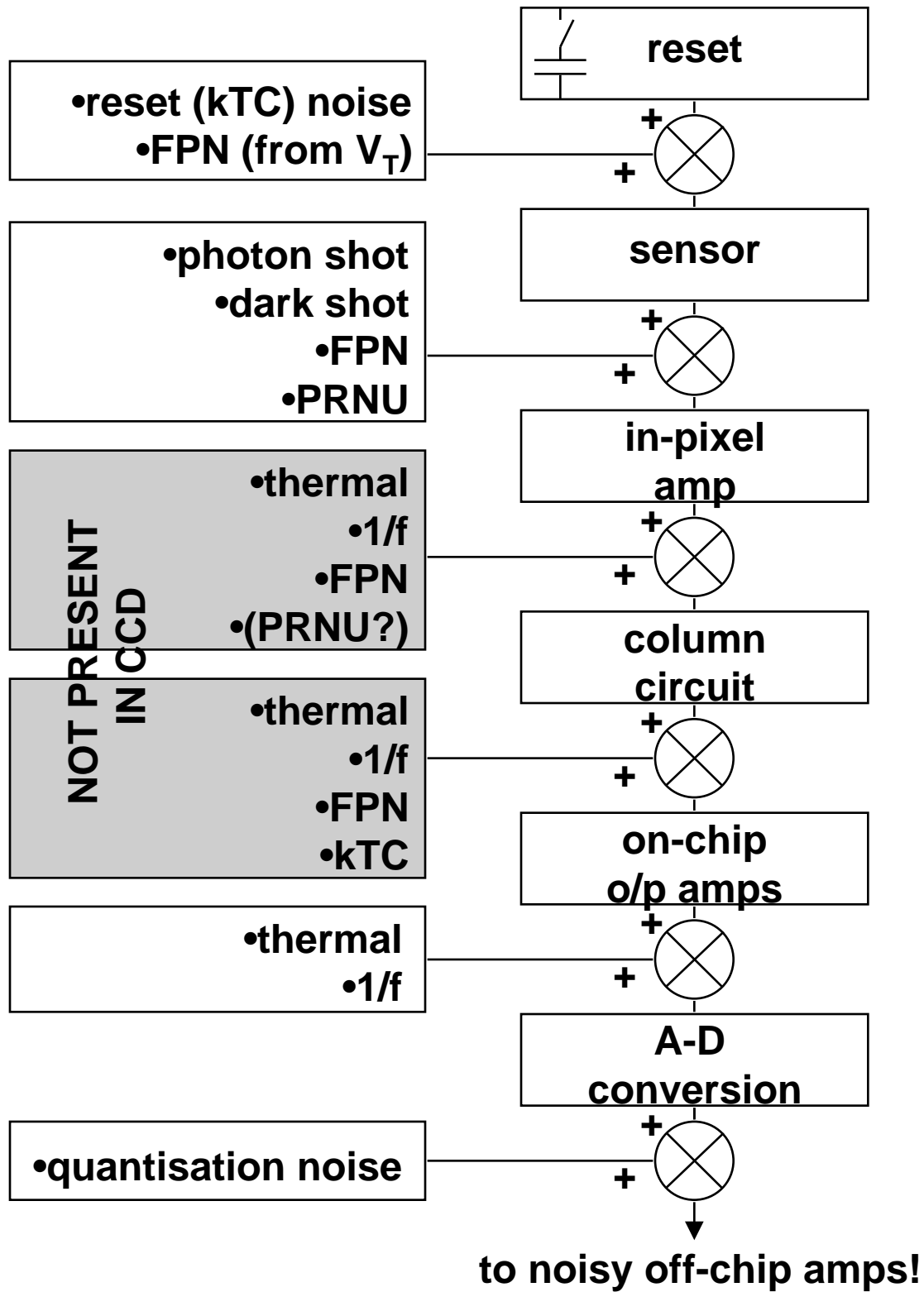
- At any junction, including metal-to-metal, metal-to-semiconductor, and semiconductor-to-semiconductor, conductivity fluctuations occur
 - » the causes of these are still not completely understood
- The rms 1/f noise current is given by

$$\langle i_{1/f} \rangle = I_{dc} \sqrt{\frac{B}{f}}$$

- 1/f noise arises mainly in amplifier circuits where there are numerous such contacts
- At low frequencies, 1/f noise can be the dominant component
 - » but, at higher frequencies, the 1/f noise drops below the thermal noise
 - » the frequency at which this happens depends on the situation



Array Noise Components



“Referred” Noise Figures

- **Conventionally, the noise figures are referred either to the final output or to the output of the optical detector**
 - » i.e. to be compared directly with the number of electrons generated by the detector
 - » called input referred noise
- **For input referred noise, the noise of later stages must be divided by the gains of the intermediate stages**
- **Or vice versa for output referred noise**
- **Usually, authors in CMOS circles use the input referred figure**
 - » but this is tough to obtain for intermediate stages in the circuit owing to uncertainties in the gains of each stage
 - » only the overall figure in electrons is practically feasible because the appropriate inverse-conversion efficiency (e^- per μV) is only known for the entire output circuit

Typical Noise Figures

- From Mendis, the calculated and measured input referred noises for a 128x128 element photogate array are

Noise source	Calculated rms	Measured rms
kTC from reset of FD	negligible	negligible
In-pixel amp. 1/f	111 μ V	
kTC from column sample & hold	93 μ V	
Column source follower 1/f	46 μ V	
Total column noise	86 μ V	120 μ V
Total noise	152 μ V	151 μ V
Total noise electrons	41 e ⁻	41 e ⁻

- Mendis also reported a photodiode read noise of ~80 e⁻ rms
- Typical read noises for CMOS sensors

Technology	RMS read noise
Photodiode APS	50 - 80 e ⁻
Photogate APS	20 - 40 e ⁻
Logarithmic APS	700 e ⁻
Passive pixels	200 - 300 e ⁻

- Remember that this does not include shot noise or pattern noise

Fixed Pattern Noise

- **Fixed Pattern Noise is due to pixel-to-pixel variations in the absence of illumination**
- **The main cause of FPN in CMOS imagers is variations in V_T**
 - » between reset and buffer MOSFETs in the pixel
 - » and between MOSFETs in the column circuits
- **FPN can also arise from repeating irregularities in the array clocking**
 - » allowing small variations in integration time etc.
- **In very large arrays, resistive drops in reset buses may lead to a “droop” in the voltage to which the pixels are reset,**
 - » but this is not usually significant in CMOS imagers
- **FPN is just as valid as a “noise” as the temporal variety**
 - » both affect the actual output voltage that the pixel produces
 - » in a way that is not directly related to the illumination to be measured

PRNU

- **The issue of photo-response non-uniformity has not historically received much attention in the CMOS imager community**
 - » although there is now some occasional mention of “gain nonuniformity”
- **Like FPN, PRNU is essentially time-independent, but it is signal-dependent**
- **Both types of pattern noise can be specified in terms of either an rms or a peak-to-peak value, referenced to an average value**
 - » e.g. the full-well capacity
- **A histogram of output signals is built up in the dark or light, as appropriate**
 - » $PN_{\text{rms}} = \text{rms of distribution} / \text{average value}$
 - » $PN_{\text{p-p}} = \text{peak-to-peak variation} / \text{average value}$
- **Since PRNU is signal dependent, it is often expressed as a multiplier of the number of photons**
 - » $\langle n_{\text{PRNU}} \rangle = U n_{\text{pe}}$

Minimum Noise

- In principle, the noise floor and dark current can be reduced so that the system is photon shot noise limited

$$\langle n_{\text{sys}} \rangle = \langle n_{\text{pe}} \rangle = \sqrt{n_{\text{pe}}}$$

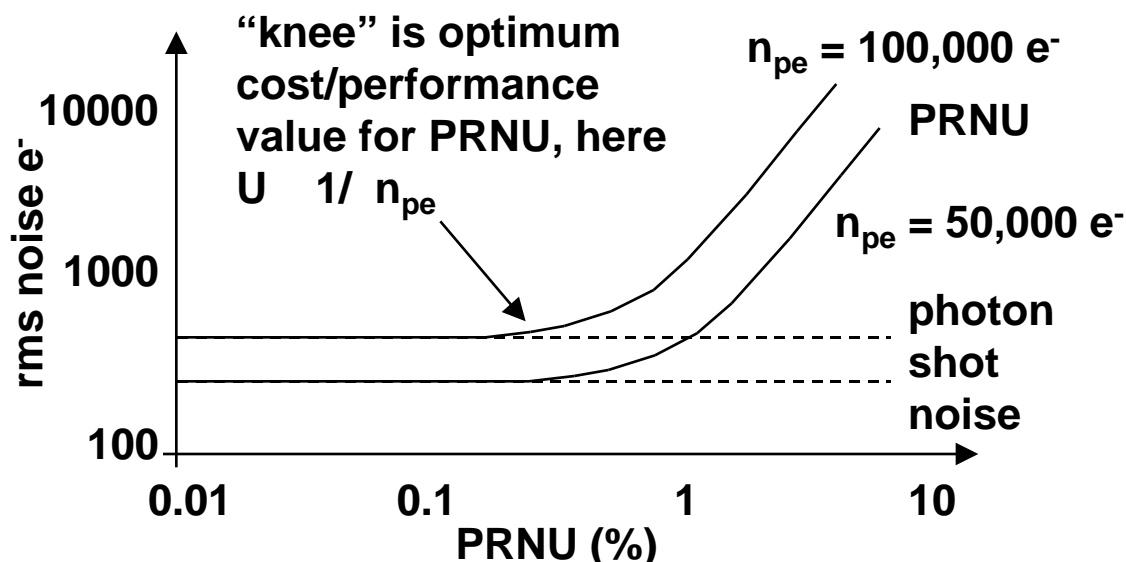
- » this approximation is sometimes used to calculate the pixel sensitivity ($\mu\text{V}/e^-$)

- But there will never be zero PRNU, so a more achievable value would be

$$\langle n_{\text{sys}} \rangle = \sqrt{n_{\text{pe}} + (U n_{\text{pe}})^2}$$

- » The worst case when $n_{\text{pe}} = n_{\text{full-well}}$

- If we plot out this limiting noise as a function of PRNU, it looks like



Noise Reduction Techniques

- **Having seen some of the common sources of noise in CMOS imaging systems, how might we go about reducing them?**
- **Essentially, there are three classes of noise**
 - » those we can do nothing about, such as photon shot noise
 - » those we can reduce by careful design of circuit components, such as thermal noise
 - » those we can reduce by circuit design, such as FPN
- **These techniques are inter-dependent**
 - » we shall see that adding extra circuitry to reduce FPN also introduces extra $1/f$ and kTC noise
 - » so the optimisation of noise is a system issue, not just a question of optimising each element individually
- **We will look at the general techniques for reducing noise in electronic devices, as well as circuit techniques for pattern noise etc**
- **The study of noise is a specialised topic, and we will only look at the essentials**

Shot Noise

- **As we remarked earlier, photon shot noise is dependent on the illumination level, and there is not much we can do about it**
 - » except reduce the QE of the detector, which we don't want to do!
- **Shot noise also arises from the pixel dark current**
 - » which we *can* alter
- **By changing doping levels, we can reduce the dark current**
 - » but, in a regular photodiode, at the expense of QE
- **And by removing the collection area away from the surface**
 - » this is another advantage of the pinned photodiode
- **The magnitude of the dark current is, of course, dependent on the pixel area**
 - » so the shot noise will be smaller for smaller pixels
 - » although the perimeter component of the dark current means that S/N still gets worse as pixel dimensions are reduced
- **But shot noise is not usually the limiting factor**

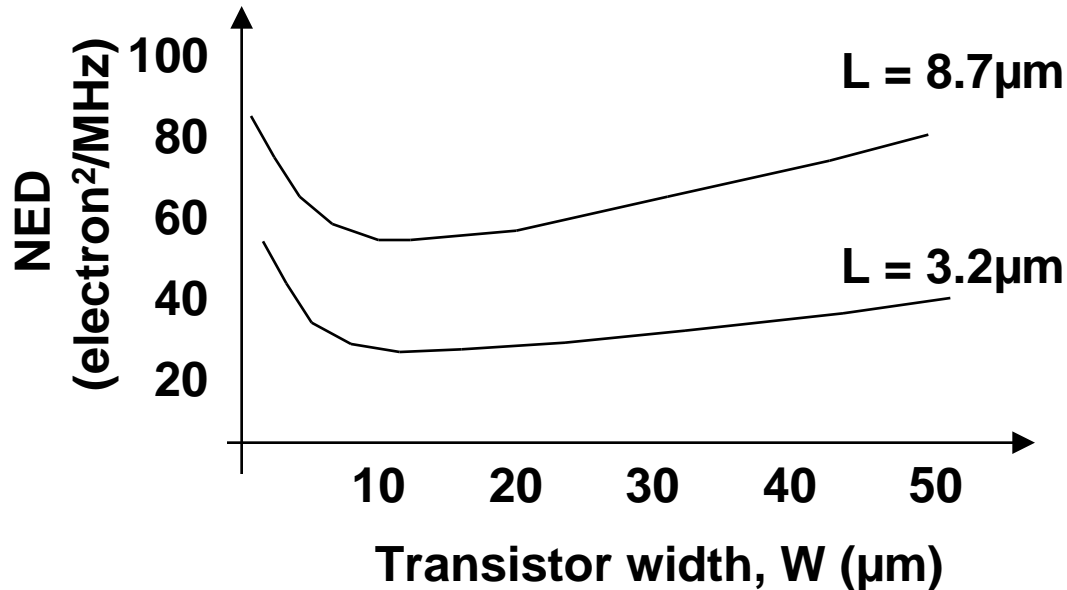
Thermal Noise

- **Thermal noise is important mainly in the input stages of amplifiers**
 - » because of the $(4kTBR_{\text{channel}})$ from the MOSFETs
- **In general, the power spectrum of the thermal noise will be proportional to $(W/L)^{-1}$**
- **But it is also dependent on the current through the devices**
- **A common way of expressing thermal noise is the noise electron density (NED)**

$$\text{NED}(f) = \frac{e_n(f)C_t}{q}^2$$

- » where $e_n(f)$ is the total equivalent noise voltage at the output stage (e.g. a floating diffusion)
- » and C_t is the total capacitance present at the input, including diffusion capacitance, gate capacitance, and everything else (to convert to electrons)
- **$e_n(f)$ represents the device noise, referred to the input**
 - » and so includes all factors such as the transistor geometry, i_{DS} , device area etc. that affect the gain

- **NED, expressed in electrons²/Hz, is sketched below for a bias current of 100μA**



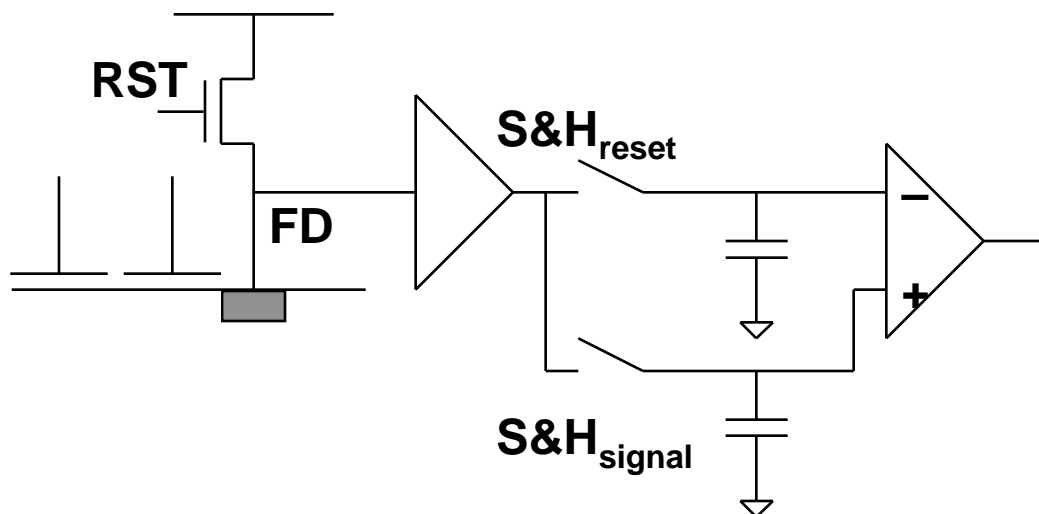
- **Increasing W , for a fixed L , increases C_t because of the device area**
- **But increased W decreases $e_n(f)$**
 - » because the current i_{DS} , and the gain of the circuit, are increased
 - » therefore reducing the input referred noise
- **So the optimum condition is to keep $W = 15\mu\text{m}$ in these transistors**
 - » too small a W reduces the gain
 - » and too large a W increases the C
- **Also the NED decreases with L , which makes smaller devices advantageous**

1/f Noise

- **1/f noise arises mainly from trapping de-trapping of electrons at the Si-SiO₂ interface**
- **So we can do two things to minimise 1/f noise**
 - » reduce the device area, $W \times L$
 - » use a buried channel device to separate the channel from the interface
- **While in standard CMOS we cannot do much about the second option, we could reduce $W \times L$**
 - » but the gain of the amplifier is dependent on W/L
 - » so reducing L is the best choice!
- **In the pixel, this is a good thing since we want to minimise the areas of the transistors anyway, to achieve a high fill factor**
 - » although care is needed to ensure that the pixel source follower can adequately drive the column capacitance (i.e. enough W/L)
- **Note that we need the noise added at early stages of the process to be minimised**
 - » since this is amplified at all subsequent stages

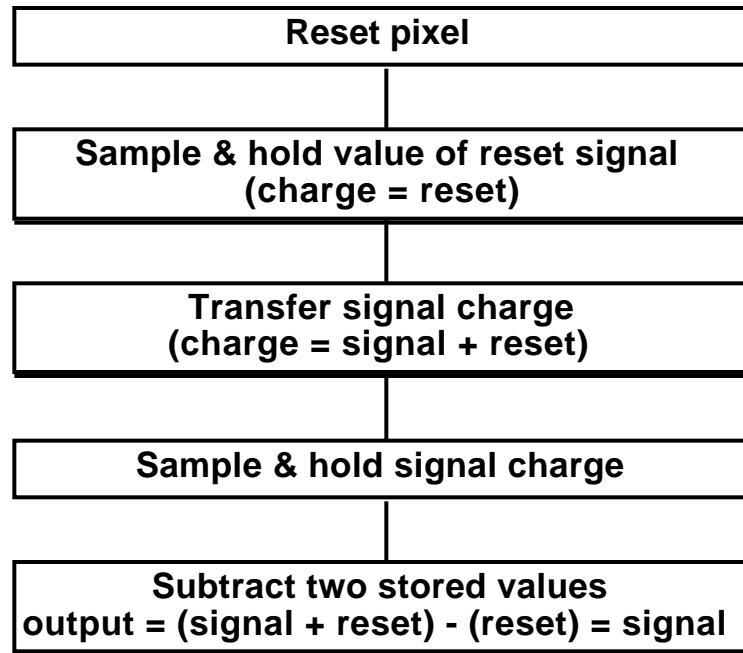
Correlated Double Sampling

- **Reset noise is difficult to design out of the system**
 - » since the properties of the transistor cancel out
 - » although reducing the capacitance of the node is useful for both kTC and conversion efficiency
- **So the most common solution is to measure the value of the reset noise and then to subtract it from the signal**
- **A generic circuit for achieving this in a CCD or floating gate APS would be**



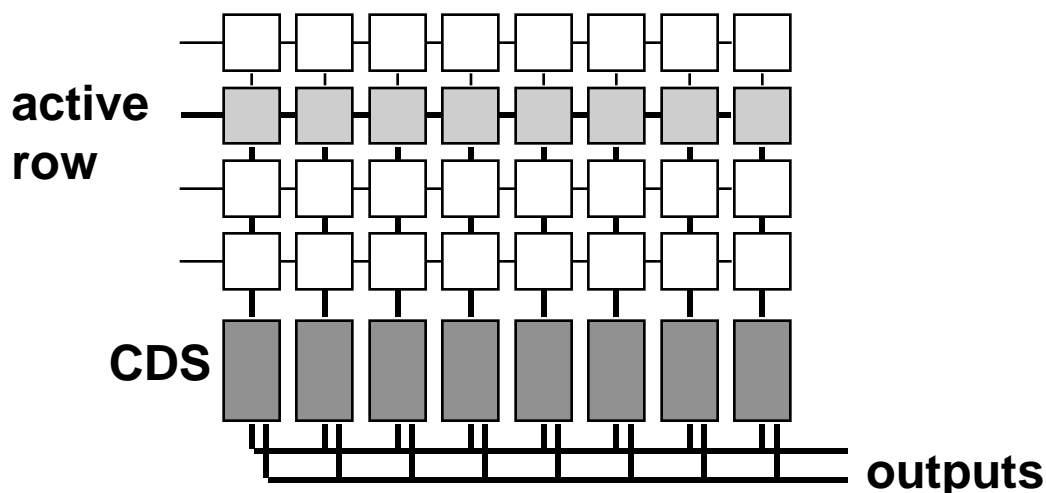
- **During the sample and hold period, the appropriate switches are pulsed on & off**
 - » to leave the voltages stored on the capacitors

- The sequence of events for a CCD or photogate would be



- Here, the reset signal is given by
 - » $V_{\text{reset}} = [V_{\text{DD}} - (V_{\text{T}} \pm V_{\text{T}})] \pm (V_{\text{kTC}}) \pm (V_{\text{part}})$
- Here the V_{T} is the component of FPN arising from mismatches between the reset transistors
 - » and is approximately the same for each frame
- V_{kTC} is the reset noise
 - » and is different from frame to frame
- Note that we are considering voltages (not electrons) at this stage
 - » so the “kTC” noise is given by (kT/C) , and is therefore reduced for larger C

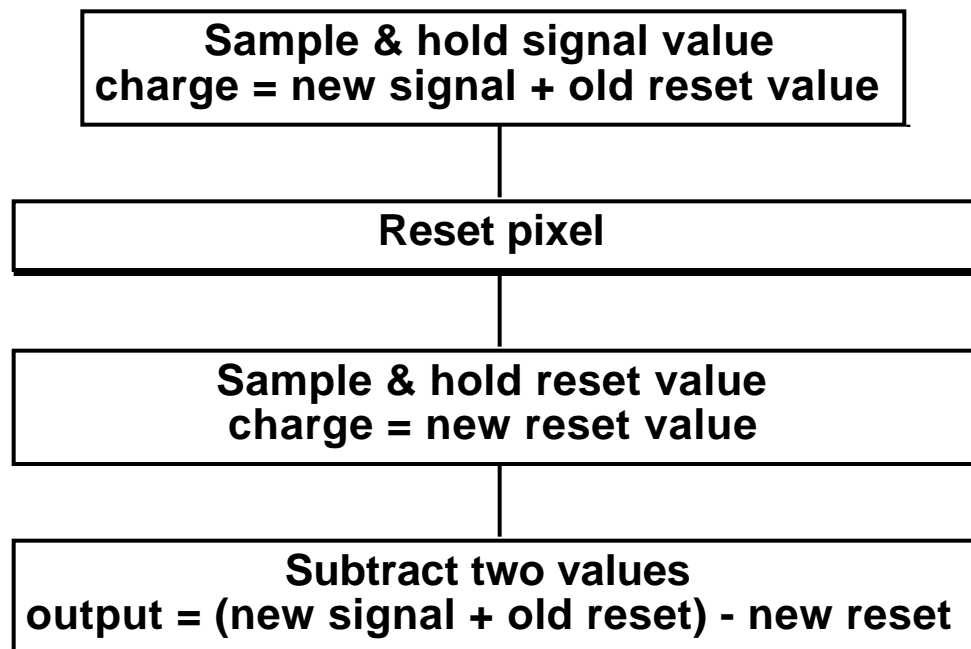
- V_{part} refers to what is called the partition noise
 - » when the reset FET turns off, the channel charge moves either to the source (= FD) or to the drain
 - » but we do not know exactly how much goes to each
- This type of sample-and-hold technique is known as correlated double sampling (CDS)
- The “correlated” part comes about because the noise component of the two signals is correlated, and can therefore be subtracted out
- In a CCD, a single CDS circuit is needed because there is only one floating diffusion output node
- In CMOS APS, there is an output node per pixel
 - » but practically, we need only one CDS circuit per column of the array
 - » and the S&H is carried out for all columns in parallel



CDS for Photodiode APS

- **This form of CDS works very well for pixels with a floating diffusion output node**
 - » photogate, and pinned photodiode with transfer gate
- **Indeed, the main advantage of using the photogate structure is to facilitate the removal of reset noise**
 - » since the improvement in conversion efficiency is offset by the lower QE
- **In photodiode designs, double sampling can only remove the FPN that results from mismatches**
 - » this is because the double sampling is not correlated
- **In FD designs, the signal was added to the existing (and stored) reset value**
 - » so the subtraction was of exactly the same noise signals
- **In the photodiode, there is no separate output node, so the signal must be read out first**
 - » and this signal includes the original reset voltage on the photodiode
 - » which in turn includes FPN and kTC noise

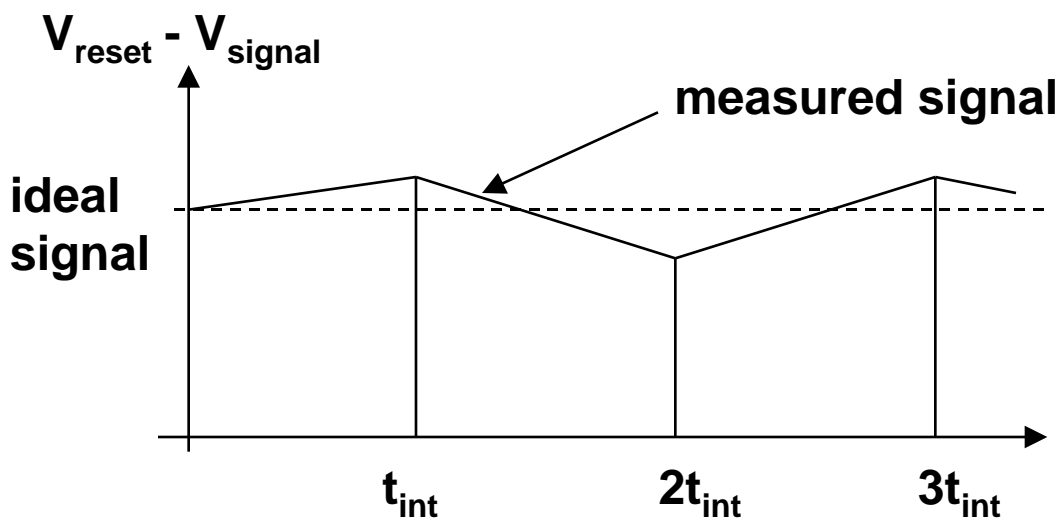
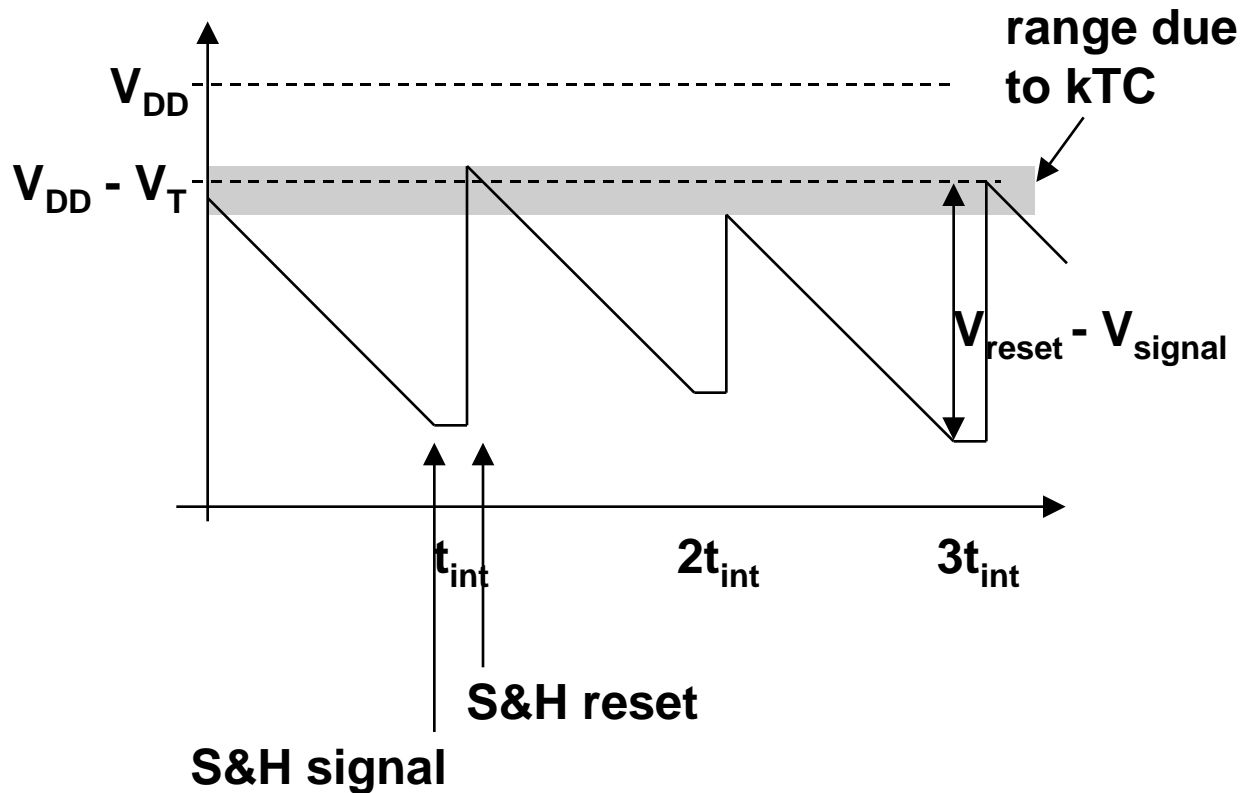
- **We can now reset the pixel again and subtract this value**
 - » the FPN will be much the same as that which was included when we sampled the signal
 - » but the kTC noise will be different, i.e. not correlated
 - » remember kTC is the rms value of a distribution
- **So now the sequence of events is**



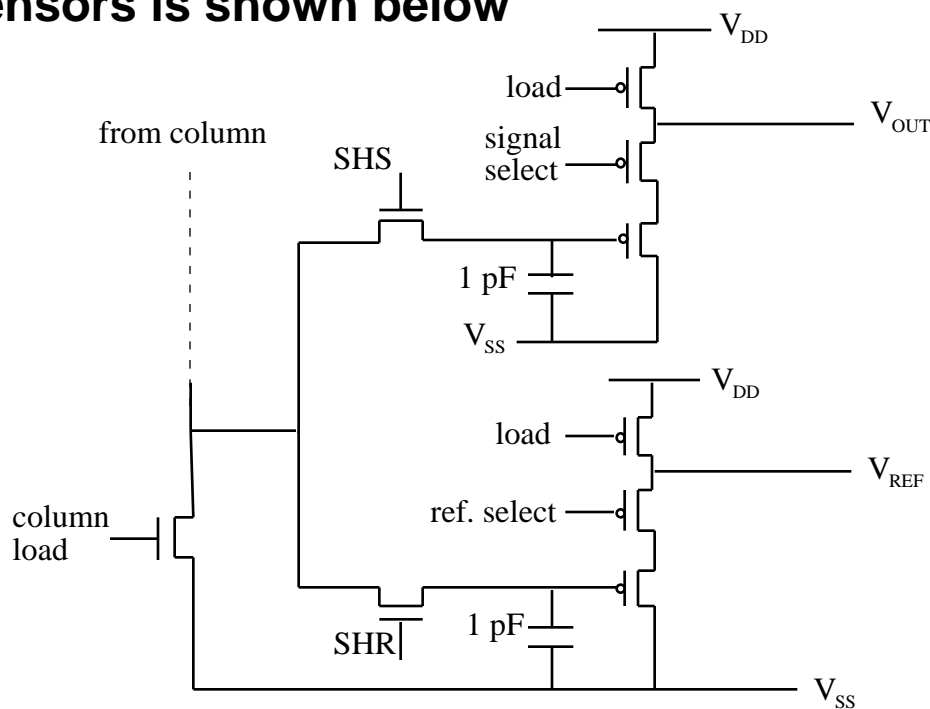
- **This would be better termed pixel double sampling**
- **Or, alternatively, a graphical representation is as follows ...**

- For example, if we read out a single pixel over several integration periods

» assuming constant illumination (i.e. slopes parallel)



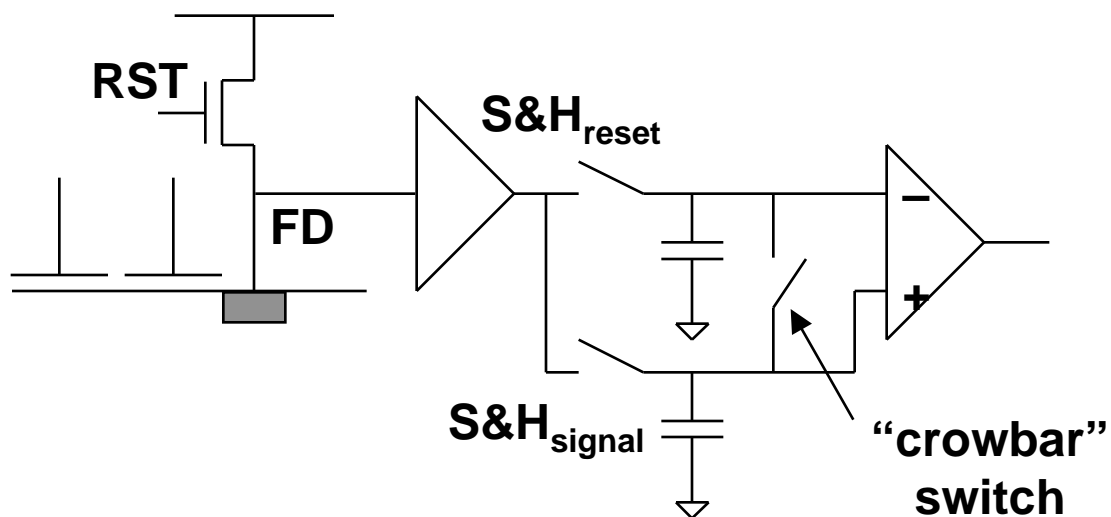
- **This is the reason why reset noise is now the limiting noise source in photodiode circuits**
- **Note that even this noise reduction is unavailable to the logarithmic pixels, hence their poor FPN characteristics**
- **The conventional CDS circuit used in CMOS sensors is shown below**



- **Of course, the additional circuitry required for the CDS implementation adds further noise to the signal**
 - » kTC from the sample-and-hold capacitors
 - » 1/f and thermal noise from the transistors
- **But usually in CMOS sensors, the FPN is the more critical issue**

Column FPN

- **The other issue with using column-wise CDS is that FPN is then added by the CDS circuits themselves**
 - » appearing as vertical streaks in the image
- **This can be removed by storing and subtracting column reference signals off chip**
- **Alternatively a second stage of double sampling is performed**
 - » where, after the readout of the differential signal, the S&H capacitors are shorted together
 - » this results in a differential output that is a measure of the mismatches between the two sets of output stages
 - » Mendis calls this a “crowbar” circuit and the process delta difference sampling

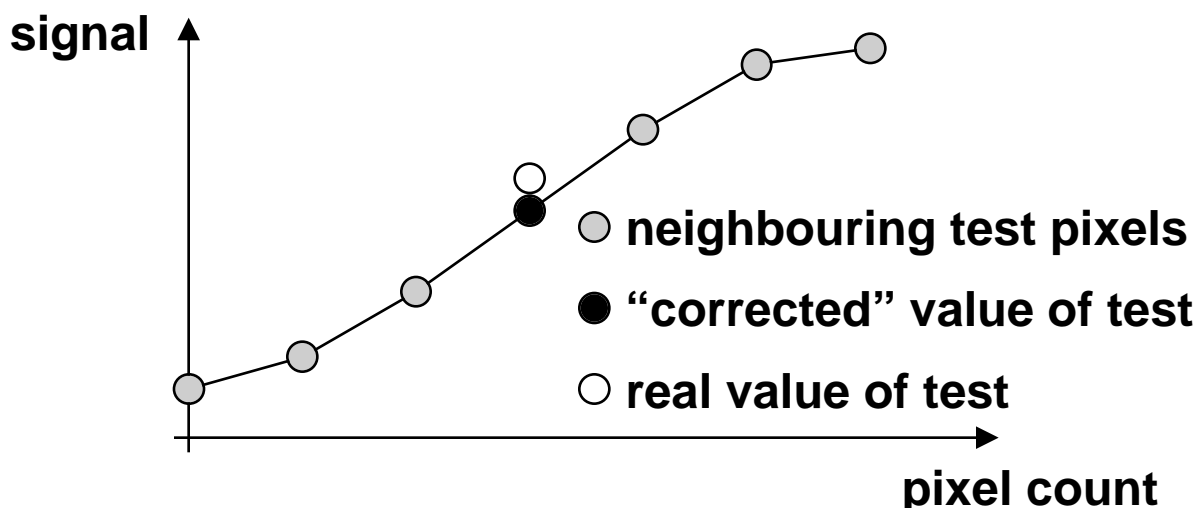


Typical Figures

- **Typical figures for FPN are hard to define because it depends so much on the precise process used**
- **For photogates with a 2 μ m CMOS process, Mendis reported a p-p FPN of 1% – 2.5% saturation with the CDS circuit**
 - » falling to ~0.1% sat. with the DDS as well
- **A photodiode fabricated similarly showed a p-p FPN of ~0.5% sat. after CDS, and ~0.1% after CDS + DDS**
 - » typical raw data are about 2 - 3% p-p sat.
- **For a 0.35 μ m process, the raw FPN for a PG array was 6% sat.**
 - » reducing to 0.4% after off-chip correction
- **Mansoorian et al. give a final FPN of 0.6% sat. p-p for both PG and PD using a 0.55 μ m process**
 - » using a similar DDS technique
- **For logarithmic pixels, IMEC report a raw FPN of ~100% of the useable signal range!**

Patent Issues

- Other methods of reducing noise are possible, although probably not so good
- Hitachi have several patents which cover the idea of active pixel sensors and the use of CDS in these devices
 - » in their CMOS digital still camera, VLSI Vision use a mechanical shutter in order to measure a true dark image for subsequent subtraction
- One possibility is to smooth out large signal variations between neighbouring pixels
 - » a smooth curve is fitted through points either side of the test point, and the test point moved to fit that curve
 - » the smoothing is improved if the number of neighbours is increased, but the “sharpness” of the image is lowered



Feedthrough & Crosstalk

- **We have considered here “natural” sources of noise such as $1/f$, thermal, and shot noise**
- **And technological noise, such as FPN and PRNU**
- **In addition to these, there can be unwanted signals in one part of the circuit due to the operation of another part**
 - » these can be addressed in the design of the array and circuits
 - » although some sources are not easy to eliminated
- **Feedthrough of digital signals from control lines into the analog parts of the circuit can be a problem**
 - » analog and digital sections of the chip can be separated to some extent
 - » but the array itself, and much of the analog signal processing is intrinsically both analog and digital
- **The minimisation of these effects requires careful layout**
 - » and mixed signal design is currently a hot topic in many areas, such as A-D & D-A conversion, DSP etc.

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